



# The United Nilgiri Tea Estates Company Limited

Regd. Office : P.B. No. 3708, No. 3, Savithri Shanmugam Road, Race Course, Coimbatore - 641 018

Phone : 0422-2220566, 2220125 Fax : 0422-2222865 Email : headoffice@unitea.co.in

PAN : AABCT1206H GSTIN-Tamilnadu : 33AABCT1206H1ZX GSTIN-Kerala : 32AABCT1206H1ZZ

CIN : L01132TZ1922PLC000234 Website : unitednilgiritea.com

30.05.2022

National Stock Exchange of India Limited,  
Exchange Plaza,  
Plot C/1, G-Block,  
Bandra-Kurla Complex, Bandra East,  
Mumbai – 400 051

**Scrip Code: UNITEDTEA**

Dear Sirs,

**Annual Secretarial Compliance Report for the year ended 31<sup>st</sup> March, 2022**

Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI circular CIR/CFD/CMD1/27/2019 dated February 8, 2019, please find enclosed Annual Secretarial Compliance Report issued by L.K. & Associates, Practicing Company Secretaries, Chennai for the year ended 31<sup>st</sup> March, 2022.

Kindly take the same on record.

Thanking you,

Yours faithfully,  
For THE UNITED NILGIRI TEA ESTATES CO.LTD.

R.V. SRIVIDHARAN  
Company Secretary &  
Compliance Officer



To,

The Board of Directors  
The United Nilgiri Tea Estates Co. Ltd.  
3, Savithiri Shanmugam Road  
Race Course  
Coimbatore - 641018

**Secretarial compliance report of The United Nilgiri Tea Estates Company Ltd  
for the year ended March 31, 2022**

I, Lalitha Kannan of M/s L K & Associates, Company Secretaries, have examined:

- (a) all the documents and records made available to us and explanation provided by M/s The United Nilgiri Tea Estates Co Ltd ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,

which has been relied upon to make this certification, for the year ended March 31, 2022 in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; (Not applicable to the Company during the audit period )
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; (Not applicable to the Company during the audit period )
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; (Not applicable to the Company during the audit period )
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (Not applicable to the Company during the audit period )



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- (g) Securities and Exchange Board of India(Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations,2013; (Not applicable to the Company during the audit period )
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; and circulars/ guidelines issued thereunder;

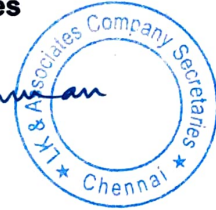
and based on the above examination, I hereby report that, during the Review Period:

- a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder.
- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.
- c) There has been no action taken against the Company/its promoters/directors/ material subsidiaries either by SEBI or by Stock Exchanges (*including under the Standard Operating Procedures issued by SEBI through various circulars*) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder.

Place: Chennai  
Date: 25-05-2022

For L K & Associates

*Lalitha Kannan*



**LALITHA KANNAN**  
**Company Secretary**  
**C P No.: 1894 ACS : 8304**  
**UDIN : A008304D000385626**